



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hornick et al.

Serial No. 09/771,358

Filed: January 27, 2001

For:

METHODS AND SYSTEMS FOR IDENTIFYING PROSPECTIVE

CUSTOMERS AND MANAGING DEALS

Group Art Unit: 2171

Examiner:

Technology Center 2100

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

John S Beulick, Registration No. 33,338

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

			RECEIVED
1.	[√]	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]	MAY 0 6 2002
2.	[ ]	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]	GROUP 3600
3.	<b>[</b> ]	Fee Payment Pursuant to 37 CFR 1.97(c)	

Hon. Commissioner for Patents Washington, D.C. 20231

Sir:

4.,

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)

5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6.  $\sqrt{\phantom{0}}$  37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

[before Final Office Action or Allowance]

[before Issue Fee payment]

			1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  The required certification made in item 11 below; or  The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
7.		37 CFR	1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
	8.	_	The required certification made in item 11 below; or
	9.		The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10.	<del></del>	37 CFR	1.97(d): [before issue fee payment]; and
		a)	This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance "), and
	_	b)	The required Certification is stated in item 11 below.
11.		Certific	ation
	12.		Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
	13.	_	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14.	₹_		harge all applicable fees associated with the submittal of this Information Disclosure nt to Deposit Account No. 01-2384. An original and two copies of this document is d.

Respectfully submitted,

John S Beulick Registration No. 33,338

Armstrong Teasdale LLP

One Metropolitan Square, Suite 2600

St. Louis, MO 63102

(314) 621-5070



Express Mail No. Please Type a plus sland) inside this box

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

O hadde for form 14400/DTO				Complete if Known				
Substitute	e for form 1449A/PTO			Application Number	09/771,358	REC		
	INFORMATIO			Filing Date	January 27, 2001	CEILL		
STATEMENT BY APPLICANT				First Named Inventor	Hornick et al.	APR OF		
	(use as many s	heets a	as necessary)	Group Art Unit	2171	Technol 0 2002		
				Examiner Name		MOIOGY Com		
Sheet	1	of	2	Attorney Docket Number	17243-00035	onter 2100		
						V()		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite	U.S. Patent Documer		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant	
	No.1	Number	Kind Code <sup>2</sup> (if known)	Of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	AA	US 2002/0042757 A1		Albazz et al.	Apr. 11, 2002		
	AB	US 2002/0042755 A1		Kumar et al.	Apr. 11, 2002		
	AC	US 2002/0040352 A1		McCormick	Apr. 4, 2002		
	AD	US 2002/0023176 A1		Kwicinski	Feb. 21, 2002		
	AE	US 2002/0010741 A1		Stewart et al.	Jan. 24, 2002		
	AF	6,341,291 B1		Bentley et al.	Jan. 22, 2002		
	AG	US 2001/0047276 A1		Eisenhart	Nov. 29, 2001		
	AH	US 2001/0027463 A1	1	Kobayashi	Oct. 4, 2001	RECEIVED	
	Al	6,157,915		Bhaskaran et al.	Dec. 5, 2000		
_	AJ	6,119,149		Notani	Sep. 12, 2000	MAY 0 6 2002	
	AK					11171 - 0 - 0 - 200 <u>2</u>	
	AL						
	AM					<b>GROUP 3600</b>	
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS		T				
	AT		1	·			

FOREIGN PATENT DOCUMENTS								
Examiner	Cite No.1	Foreign Patent Document			Name of Catantan as	Date of Publication of	Barra California Maria Balancia B	T -
Initials*		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FA							
	FB							
	FC							
	FD					The state of the s		
	FE							
	FF				-			
	FG							

Signature Date Considered:	Examiner Date Considered:
----------------------------	---------------------------

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next

communication to applicant.

1 Unique citation designation number. 2 See Attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WSIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must preceded the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 3 Applicant is to place a check mark here if English language Translation is attached.



## RECEIVED.

MAY 0 6 2002

GROUP 3600

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

persons are required to respond to a collection of information unless it displays a valid OMB control number

Under the Paperwork Reducti Technology Conter 2400 Complete if Known Substitute for form 1449A/PTO **Application Number** 09/771,358 INFORMATION DISCLOSURE Filing Date January 27, 2001 STATEMENT BY APPLICANT **First Named Inventor** Hornick et al. Group Art Unit 2171 (use as many sheets as necessary) **Examiner Name** 2 2 of Attorney Docket Number 17410-00021 Sheet

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the No. 1 Items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.					
	OA	http://www.intralinks.com/introduction.asp "Using Workspaces>Introduction"; Copyright 2000, IntraLinks, Inc., 1 page				
	ОВ	http://www.webreprints.djreprints.com; Ellen Byron, "IntraLinks Puts Distant Parties on Same Page" THE WALL STREET JOURNAL; January 17, 2002 Copyright 2002 Dow Jones & Company, Inc., 3 pages.				
	ОС	http://www.wallstreetandtech.com; Jim Middlemiss; "IT Challenge" WALL STREET & TECHNOLOGY December 10, 2001; 3 pages				
- 11-11	OD	eroom.com.DWP/index.asp; "V60 Your Digital Workplace" eRoom Technology, Inc., 5 pages.				
	OE	http://www.eroom.com/news and press/media release_V6_launch.asp; "V60 Your Digital Workplace; 3 pages.				
	OF	http://www.eroom.com/whitepapers/when%20to%20Use%20eRoom%20Real%20Time%20services% 20whitepaper.pdf; "When to Use eRoom Real Time Services:" pages 1-6.				
	OG	http://www.eroom.com; "eRoom 5 Security"; Copyright 2001-2002 eRoom Technology, Inc. 10 pages.				
	ОН	http://www.eroom.com/whitepapers/V6D_0205.pdf; "eRoom Digital Workplace Version 6"; 14 pages.				
	OI	http://www.eroom.com/whitepapers/eRoom_DigWrk_V12.pdf; 8 pages.				
	OJ	http://www.dealbench.com/content/outside_about.html; "About DealBench"; Copyright 2001 DealBench; 3 pages.				
	OK http://www.matrixone.com/about/company_information.html; "Changing the Way the World Brings Products to Market"; 10 pages.  OL http://www.opentext.com/livelink/;"About Livelink"; Copyright 2002 Open Text Corporation; 13 page					
	ОМ	http://www.intraspect.com/; product information; Copyright 2002 Intraspect Software, Inc.; 12 pages				
	ON	HTTP://www.info@doculabs.com; John Balla, Lisa Matway, and Linda Andrews; "Doculabs White Paper"; Copyright 1999 Doculabs; 28 pages.				
OO http://www.eroom.net/eRoomNet/projectu/papers/projectcollaboration.pdf; David Weinberg collaboration: How we've wanted to work together all along"; Copyright Instinctive Technol 1999; 16 pages.						
Examiner Signature		Date Considered:				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. <sup>2</sup>Applicant is to place a checkmark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.